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NOTICE OF ALLOWANCE AND FEE(S) DUE

04/24/2009 20154 FREDERICK W. GIBB, III Gibb Intellectual Property Law Firm, LLC 2568-A RIVA ROAD SHITE 304

ANNAPOLIS, MD 21401

EXAMINER KARDOS, NEIL R ARTINIT PAPER NUMBER 3623

DATE MAILED: 04/24/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/707 973	01/29/2004	Brian T. Denton	BUR920040008US1	1972

TITLE OF INVENTION: METHOD FOR SIMULTANEOUSLY CONSIDERING CUSTOMER COMMIT DATES AND CUSTOMER REQUEST DATES

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Г	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
Ξ	nonprovisional	NO	\$1510	\$300	SO.	\$1810	07/24/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pav TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of th Fee(s) Transmittal. This certificate cannot be used for any other accompanyin papers. Each additional paper, such as an assignment or formal drawing, mus have its own certificate of mailing or transmission.				
2568-A RIVA R	Property Law Firm		Certificate of Mailing or Transmission I hereby certify that his Fee(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an eneve addressed to the Mail Stop ISSUE PEE address above, or being facsi transmitted to the USPTO (571) 273-2885, on the date indicated below.					
SUITE 304 ANNAPOLIS, M	ID 21401						(Depositor's name)	
111111111111111111111111111111111111111			<u>_</u>				(Signature)	
			L				(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	R	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.	
10/707,973 TITLE OF INVENTION	01/29/2004 : METHOD FOR SIMU	LTANEOUSLY CONSI	Brian T. Denton DERING CUSTOMER CO	OMMIT DATES A		R920040008US1 STOMER REQUEST	1972 DATES	
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUI	E FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1510	\$300	\$0		\$1810	07/24/2009	
EXAM	INER	ART UNIT	CLASS-SUBCLASS	٦				
KARDOS	, NEIL R	3623	705-008000	_				
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A	ondence address (or Cha //122) attached. cation (or "Fee Address 2 or more recent) attach ND RESIDENCE DAT/ ess an assignee is ident n in 37 CFR 3.11. Comp	inge of Correspondence "Indication form led. Use of a Customer A TO BE PRINTED ON	2. For printing on the (1) the names of up to cragents OR, alternation (2) the name of a sing registered attorney or 2 registered patent attained to the cragitation of the cragitation	o 3 registered paten ively, the firm (having as a agent) and the nam orneys or agents. If e printed. (pe) patent. If an assign assignment.	membe es of up no name	ra 2to to is 3	ocument has been filed fc	
Please check the appropri 4a. The following fee(s) a ☐ Issue Fee ☐ Publication Fee (N ☐ Advance Order - #	are submitted:	41	b. Payment of Fee(s): (Ple A check is enclosed. Payment by credit ca	rase first reapply are	is attac	ously paid issue fee s	iciency, or credit any	
5. Change in Entity Stat			overpayment, to Dep				extra copy of this form).	
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Authorized Signature				Date				
Typed or printed name			Registration No.					
This collection of informan application. Confident submitting the completed	ation is required by 37 C iality is governed by 35 application form to the	FR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary	on is required to obtain or 1.14. This collection is es depending upon the indi	retain a benefit by t stimated to take 12 r vidual case. Any co	he publi ninutes mments	c which is to file (and to complete, includin on the amount of tir	by the USPTO to process g gathering, preparing, and ne you require to complete	

this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandra, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandra, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/707,973 01/29/2004		Brian T. Denton	BUR920040008US1 1972	
29154 75	590 04/24/2009	EXAMINER		
FREDERICK W	. GIBB, III	KARDOS, NEIL R		
	roperty Law Firm, LLC	ART UNIT	PAPER NUMBER	
2568-A RIVA RC)AD	3/22		

SUITE 304 ANNAPOLIS, MD 21401 DATE MAILED: 04/24/2009

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1099 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1099 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)				
	10/707,973	DENTON ET AL.				
Notice of Allowability	Examiner	Art Unit				
	Neil R. Kardos	3623				
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-8NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not included will be mailed in due course. THIS				
 This communication is responsive to <u>2/17/2009</u>. 						
2. The allowed claim(s) is/are 1,5,6,21,22,26,34,35,37 and 4	<u>1</u> .					
	e been received. Be been received in Application No					
International Bureau (PCT Rule 17.2(a)).	camente nave been received in the	rational stage application from the				
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.					
(a) including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	948) attached				
1) hereto or 2) to Paper No./Mail Date						
(b) including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	office action of				
ldentifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t						
DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT						
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 2/2/09, 4/8/09 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. Notice of Informal P 6. Interview Summary Paper No./Mail Dat 7. Examiner's Amendr 8. Examiner's Stateme 9. Other //Beth V. Boswell/ Supervisory Patent Examers	(PTO-413), einent/Comment ent of Reasons for Allowance				
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DETAILED ACTION

The following Office Action is a response to communications filed on February 17, 2009. Claims 1, 5, 21, 22, 34, 35, 37, and 41 have been amended by examiner's amendment. Claims 2-4, 23-25, 36, 38-40, and 42-43 have been cancelled (and claims 7-20 and 27-33 were previously cancelled). Currently, claims 1, 5, 6, 21, 22, 26, 34, 35, 37, and 41 are pending and have been allowed. This action includes an examiner's amendment and reasons for allowance.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 17, 2009 has been entered.

Examiner's Amendment

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Pamela Riley on April 16, 2009. The application has been amended as follows: Art Unit: 3623

In the claims:

Please amend claim 1 as follows:

A computer-implemented method for determining a supply chain plan comprising:
 receiving, by a computer, an original a single demand record for a demand for a product
 having an original part number, said original single demand record having multiple different
 demand dates for shipping said product, said different demand dates comprising a first demand
 date and a second demand date later than said first demand date;

creating, by said computer, from said original a single demand record for a demand, a plurality of distinct demand records for said demand, wherein each of said distinct demand records for said demand has only a single one of said different demand dates, said creating of said plurality of distinct demand records comprising:[[;]]

creating a first artificial part number for satisfying said first demand date and a second artificial part number for satisfying said second demand date;

assigning said demand for said product to said first artificial part number and to said second artificial part number;

associating different priorities with said first artificial part number and said second artificial part number, said different priorities comprising a first priority for said first artificial part number and a second priority for said second artificial part number, said second priority being relatively higher than said first priority;

associating different penalties with said first artificial part number and said second artificial part number;

Application/Control Number: 10/707,973 Page 4

Art Unit: 3623

creating distinct binning records for said first artificial part number and said

second artificial part number so that said original part number references both said first artificial

part number and said second artificial part number;

performing, by said computer, core processing to create said supply chain plan, wherein

said core processing separately and simultaneously considers each one of said distinct demand

records for said demand when creating said supply chain plan, attempting to satisfy, at a same

time, each of said multiple different demand dates; and

performing, by said computer, core processing that considers each one of said distinct

demand records having only one of said different demand dates, including considering said first

artificial part number, said second artificial part number, said different priorities, and said

different penalties;

selecting, by said computer, one of said distinct demand records for supplying said

demand based on said core processing and re-instituting, by said computer, said original part

number to replace said first artificial part number and said second artificial part number; and

outputting, by said computer, a supply chain plan report based on results of said core

processing, said supply chain plan indicating which of said distinct demand records were

selected.

Please cancel claims 2-4

Please amend claim 5 as follows:

Art Unit: 3623

5. The method of claim 1, further comprising wherein selecting one of said distinct demand records for supplying said original single demand record[[,]] is based at least in part upon pricing.

Please amend claim 21 as follows:

21. A computer-implemented method for determining a supply chain plan comprising: receiving, by a computer, an original a single demand record for a demand for a product having an original part number, said original single demand record having multiple different demand dates for shipping said product, said different demand dates comprising a first demand date and a second demand date later than said first demand date:

creating, by said computer, from <u>said original</u> a <u>single</u> demand record for a demand, a plurality of distinct demand records for said demand, wherein each of said distinct demand records for <u>said demand</u> has only a <u>single</u> one of said different demand dates, <u>said creating of</u> said plurality of distinct demand records comprising:[[:]]

creating a first artificial part number for satisfying said first demand date and a second artificial part number for satisfying said second demand date;

assigning said demand for said product to said first artificial part number and to said second artificial part number;

associating different priorities with said first artificial part number and said second artificial part number, said different priorities comprising a first priority for said first artificial part number and a second priority for said second artificial part number, said second priority being relatively higher than said first priority;

associating different penalties with said first artificial part number and said second artificial part number;

creating distinct binning records for said first artificial part number and said second artificial part number so that said original part number references both said first artificial part number and said second artificial part number;

performing, by said computer, core processing to create said supply chain plan, wherein said core processing separately and simultaneously considers each one of said distinct demand records for said demand when creating said supply chain plan, attempting to satisfy, at a same time, each of said multiple different demand dates; and

performing, by said computer, core processing that considers each one of said distinct demand records having only one of said different demand dates, including considering said first artificial part number, said second artificial part number, said different priorities, and said different penalties;

after said performing of said core processing, selecting, by said computer, one of said distinct demand records for supplying said single demand record, demand based on said core processing and re-instituting, by said computer, said original part number to replace said first artificial part number and said second artificial part number, wherein said selecting process is based at least in part upon pricing; and

outputting, by said computer, a supply chain plan report based on results of said core processing and said selecting, said supply chain plan indicating which of said distinct demand records were selected.

Application/Control Number: 10/707,973 Page 7

Art Unit: 3623

Please amend claim 22 as follows:

22. The method of [[in]] claim 21, wherein said selecting process is based upon provides

different prices for different demand dates.

Please cancel claims 23-25.

Please cancel claim 36.

Please amend claim 37 as follows:

37. (Currently Amended) The method of claim [[36]] 1, further comprising setting penalties

for said first new part number and said second new part number, wherein said different penalties

comprise at least:

a first backorder penalty for said first artificial new part number and a second backorder

penalty for said second artificial new part number, wherein said first backorder penalty is

relatively low compared to said second backorder penalty and wherein the sum of said first

backorder penalty and said second backorder penalty equals combine is equal to an original

backorder penalty for said single demand for said product; and

a first inventory cost for said first artificial new part number and a second inventory cost

for said second artificial new part number, wherein said first new inventory cost is set to be at

least as high as an original inventory cost for said single demand for said product and said

second new inventory cost is set to [[at]] zero.

Application/Control Number: 10/707,973 Page 8

Art Unit: 3623

Please cancel claims 38-40.

Please amend claim 41 as follows:

41. (Currently Amended) The method of claim [[40]] 21, further comprising setting penalties

for said first new part number and said second new part number, wherein said different penalties

comprise at least:

a first backorder penalty for said first artificial new part number and a second backorder

penalty for said second artificial new part number, wherein said first backorder penalty is

relatively low compared to said second backorder penalty and wherein the sum of said first

backorder penalty and said second backorder penalty $\underline{\text{equals}}$ $\underline{\text{eombine}}$ is $\underline{\text{equal}}$ to an original

backorder penalty for said single demand for said product; and

a first inventory cost for said first artificial new part number and a second inventory cost

for said second artificial new part number, wherein said first new inventory cost is set to be at

least as high as an original inventory cost for said $\underline{\text{single}}$ demand $\underline{\text{for said product}}$ and said

second new inventory cost is set to [[at]] zero.

Please cancel claims 42-43.

Reasons for Allowance

Claims 1, 5, 6, 21, 22, 26, 34, 35, 37, and 41 have been allowed.

The following is an examiner's statement of reasons for allowance: The prior art of

record, taken individually or in any combination, does not teach or fairly suggest, inter alia,

creating multiple demand records with a single demand date from an original demand record with multiple demand dates by creating artificial part numbers for each demand date, assigning demand from the original demand record to the artificial part numbers, associating different priorities and penalties to the artificial part numbers, and associating an original part number with the artificial part numbers. The prior art also does not teach or fairly suggest performing core processing that considers the artificial part numbers, selecting a demand record to supply the demand based on the core processing, and then re-instituting the original part number to replace the associated artificial part number.

The prior art references most closely resembling Applicant's claimed invention are Milne (US 5,943,484), Crampton (US 6,898,472), James (EPO 0425405 A2), and Hegedus ("Due Date Setting with Supply Constraints in Systems Using MRP").

Milne teaches matching customer demand with existing parts and associating different priorities to the parts. Milne also teaches performing core processing via linear programming in order to match the customer demand to the parts. Milne further teaches creating "dummy" part numbers to represent multiple-process data as a single process, and re-instituting the original part number later in the method. For example, if a part A can be made by either process 1 or process 2, Milne creates a dummy part number A1 and A2 for each of these processes that create the same part. The dummy part numbers taught by Milne represent situations where a part can be made by different processes. In contrast, the artificial part numbers of the claimed invention represent different demand dates for shipping a product. Milne does not teach or fairly suggest this limitation.

Art Unit: 3623

Crampton teaches allocating resources to customer orders based on order attributes, including customer need dates, customer preference dates, and priorities. Crampton also teaches performing core processing via an algorithm that considers the order attributes. However, Crampton does not teach or fairly suggest creating multiple demand records with a single demand date from an original demand record with multiple demand dates, including creating artificial part numbers for each demand date and assigning demand from the original demand record to the artificial part numbers. Crampton does not consider creating artificial part numbers, and thus does not teach or fairly suggest the claimed invention.

James teaches an order promising system to allocate resources to customer demand. The system of James considers customer specified dates as well as best available dates when allocating resources. However, the system of James does not create artificial part numbers for these different dates, nor does it create multiple demand records with a single demand date from an original demand record. Thus, James does not teach or fairly suggest the claimed invention.

Hegedus teaches quoting due dates in a make-to-order manufacturing environment where customers request due dates and the supplier commits a due date. The model taught by Hegedus considers both of these dates in order to set an optimal due date. Hegedus does not teach creating multiple demand records with a single demand date from an original demand record with multiple demand dates, including creating artificial part numbers for each demand date and assigning demand from the original demand record to the artificial part numbers. Thus, Hegedus does not teach or fairly suggest the claimed invention.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance"

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Peachey-Kountz (US 7,444,295), directed to an available to promise system that considers customer request dates as well as earlier dates.
- Chen, Chien-Yu, et al. "Quantity and Due Date Quoting Available to Promise"
 Information Systems Frontiers 3:4 (2001) pp. 477-488.
- Wang, Dingwei, et al. "Soft Computing for Multicustomer Due-Date Bargaining"
 IEEE Transactions on Systems, Man, and Cybernetics—Part C: Applications and Reviews 29:4 (1999) pp. 566-575.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Neil R. Kardos whose telephone number is (571) 270-3443. The examiner can normally be reached on Monday through Friday from 9 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Beth Boswell can be reached on (571) 272-6737. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/707,973

Art Unit: 3623

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Neil R. Kardos Examiner Art Unit 3623

NRK 4/16/09

/Beth V. Boswell/ Supervisory Patent Examiner, Art Unit 3623